

FORM PTO-1390  
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

13041.1000USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

UNKNOWN 10/541932

INTERNATIONAL APPLICATION NO.

PCT/JP03/16904

INTERNATIONAL FILING DATE

26 DECEMBER 2003

PRIORITY DATE CLAIMED

10 JANUARY 2003

TITLE OF INVENTION

WATER-BASE PIGMENT INK COMPOSITION FOR INNER LEAD TYPE WRITING INSTRUMENT

APPLICANT(S) FOR DO/EO/US

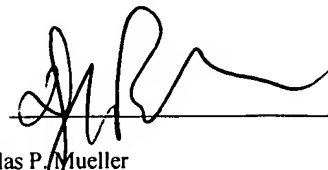
KAWAUCHI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet (4 pages), Communication re: Translation, International Publication Page of WO 2004/063297 A1, Form PCT/ISA/210 (in English and Japanese languages), letter to PCT re: Change of Address and Notification of Change of Address dated July 5, 2005.

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
UNKNOWN <b>10/541932</b>		PCT/JP03/16904		13041.1000USWO	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b>					
[X] a) Basic National fee.....\$300.00				\$300.00	
[X] b) Examination fee.....\$200.00				\$200.00	
[X] c) Search fee.....\$500.00				\$500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1000.00</b>	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
17 - 100 =	0 / 50 =		X \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	7 - 20 = 0		X \$50.00	\$0.00	
Independent claims	UNKNOWN - 3 = 0		X \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1000.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0.00	
<b>SUBTOTAL =</b>				<b>\$1000.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1000.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1000.00</b>	
				Amount to be: refunded	\$0.00
				charged	\$0.00
<p>a. [X] Check(s) in the amount of \$1000.00 to cover the above fees is enclosed.</p> <p>b. [ ] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.</p>					
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>					
<p>SEND ALL CORRESPONDENCE TO: Douglas P. Mueller Hamre, Schumann, Mueller &amp; Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402</p>					
<p>SIGNATURE: </p> <p>NAME: Douglas P. Mueller</p> <p>REGISTRATION NUMBER: 30,300</p>					

10/541932

IC14 Rec'd PCT/PTO 18 JUL 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KAWAUCHI et al.  
 Docket: 13041.1000USWO  
 Title: WATER-BASE PIGMENT INK COMPOSITION FOR INNER LEAD TYPE  
 WRITING INSTRUMENT

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: ED 769611902 US

Date of Deposit: JULY 8, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: 

Name: YUHGO MARUTA

Mail Stop PCT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 14 pgs; 7 claims; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ Check in the amount of \$1000.00 is enclosed to cover the filing fees.
- ☒ An unsigned Combined Declaration and Power of Attorney
- ☒ Application Data Sheet, 4 pages.
- ☒ Other: Form PTO-1390, International Publication page of WO 2004/063297 A1, Communication re: Translation, Form PCT/ISA/210 (in English and Japanese languages), letter to PCT re: Change of Address and Notification of Change of Address dated July 5, 2005.
- ☒ Return postcard

**CLAIMS AS FILED**

Number of Claims Filed	No.	In Excess of	Extra	Rate	Fee
<b>Total Claims</b>	7	20	0	50.00	= 0.00
<b>Independent Claims</b>	?	3	?	200.00	= 0.00
<b>Multiple Dependent Claims Fee</b>					= 0.00
<b>Basic Filing Fee</b>					= 300.00
<b>Search Fee</b>					= 500.00
<b>Examination Fee</b>					= 200.00
<b>Utility Application Size Fee</b>	17	100	0	250.00	= 0.00
<b>Total</b>					= 1000.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
 P.O. Box 2902-0902 Minneapolis, MN 55402  
 612.455-3800

By: 

Name: Douglas P. Mueller  
 Reg. No.: 30,300  
 Initials: DPM:nel

**52835**

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)

10/541932

JC14 Rec'd PCT/PTO 0 8 JUL 2005

S/N unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KAWAUCHI et al. Serial No.: unknown  
Filed: concurrent herewith Docket No.: 10341.1000USWO  
Title: WATER-BASE PIGMENT INK COMPOSITION FOR INNER LEAD TYPE  
WRITING INSTRUMENT

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CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: ED 769611902 US

Date of Deposit: JULY 8, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By:   
Name: YUHGO MARUTA

COMMUNICATION RE: TRANSLATION

MS PCT  
Commissioner for Patents  
Alexandria, VA 22313-1450


Dear Sir:

In connection with the above-identified application, it is noted that the application is being filed in the Japanese language. A verified translation will be obtained and will be filed in the Patent Office with required fees in due course.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER  
& LARSON, P.C.  
P.O. Box 2902  
Minneapolis, Minnesota 55402-0902

Dated: July 8, 2005

By   
Douglas P. Mueller  
Reg. No. 30,300

DPM/nel

## MIYAZAKI INTERNATIONAL

### PATENT AND TRADEMARK OFFICE

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PATENT  
UTILITYMODEL  
DESIGN  
TRADE MARK

BY FAX/FEDEX

5 July 2005

PCT Operations Department  
International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20  
Switzerland

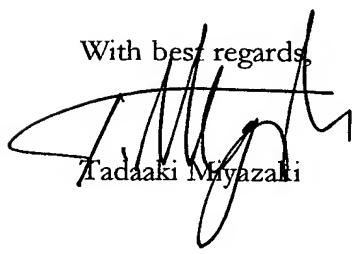
Re: NOTIFICATION OF CHANGE OF ADDRESS

Identification of the International Application: PCT/JP03/16904

Dear Sirs,

Attached please find herewith the Notification of Change of Address of the above international application. We send you both by facsimile and FEDEX.

With best regards,

  
Tadaaki Miyazaki

NOTIFICATION OF CHANGE OF ADDRESS

To: Commissioner of the Patent Office

1. Identification of the International Application

PCT/JP03/16904

2. Applicant

Name: SAKURA COLOR PRODUCTS CORPORATION

Address: 10-17, Nakamichi 1-chome, Higashinari-ku, Osaka-shi,  
Osaka 537-0025 JAPAN

Country of nationality: JAPAN

Country of residence: JAPAN

3. Person Changing Address

Relationship to the International Application: Applicant

Name: SAKURA COLOR PRODUCTS CORPORATION

Former address: 6-20, Morinomiya-cho 1-chome, Chuo-ku, Osaka-shi, Osaka  
540-8508 JAPAN

New address: 10-17, Nakamichi 1-chome, Higashinari-ku, Osaka-shi,  
Osaka 537-0025 JAPAN

Country of nationality: JAPAN

Country of residence: JAPAN

4. Agent (Common Representative)

Name: (4581) MIYAZAKI Tadaaki

Signature

Address: Miyazaki International Patent and Trademark Office  
Facade Esaka Building 9F, 23-43, Esaka-cho 1-Chome,  
Suita-shi, Osaka 564-0063 JAPAN

July 5, 2005